

jc996 U.S. PTO
01/08/02

01-10-02
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01/08/02

Box Patent Application
Assistant Commissioner for Patents
Washington, DC 20231

Express Mail No.: EK896968206US

Attorney Docket No.: 2001841-0011

Date Filed: January 8, 2002

UTILITY PATENT APPLICATION TRANSMITTAL

(for new nonprovisional applications under 37 C.F.R. § 1.53(b))

Dear Sir:

Please find enclosed a patent application and papers as follows for:

Inventor(s):

<u>Given Name (first and middle)</u>	<u>Family Name or Surname</u>	<u>Residence (City and State or Foreign Country)</u>
Brett P.	Masters	Brookline, MA
John P.	Rodgers	Arlington, MA
Marthinus C.	van Schoor	Medford, MA

Title of the Invention: Laser Machining of Electroactive Ceramics

A) APPLICATION ELEMENTS:

1) ☐ **Fee Transmittal Form** (original and duplicate submitted for fee processing)

2) ☒ **Applicant Claims Small Entity Status** (see 37 C.F.R. § 1.27)

a) ☒ **Statement Verifying Small Entity Status** (optional)

3) ☒ **Specification**

TOTAL PAGES: 13

☒ Descriptive Title of the Invention

☒ Cross References to Related Applications (if applicable)

☐ Statement Regarding Federally Sponsored R & D (if applicable)

☐ Reference to sequence listing, a table, or a computer program listing appendix

☒ Background of the Invention

☒ Summary of the Invention

☒ Description of the Drawing (if filed)

☒ Detailed Description of the Invention

☒ Claim(s)

☒ Abstract of the Invention

4) ☒ **Drawing(s)** (35 U.S.C. § 113)

TOTAL SHEETS: 6

a) ☐ Formal Drawings (if checked)

5) ☒ **Oath or Declaration**

TOTAL PAGES: 3

a) ☐ Newly Executed (original or copy)

b) ☒ Copy from a prior application (37 C.F.R. § 1.63(d))-for
continuation/divisional application

i) ☐ Deletion of inventor(s): Signed Statement deleting inventor(s) named
in the prior application, see 37 C.F.R. §§ 1.63(d)(2) and 1.33(b).

c) ☐ Unexecuted

6) ☐ **Application Data Sheet**. See 37 C.F.R. § 1.76.

7) ☐ **CD-ROM or CD-R in duplicate, large table or Computer Program
(Appendix)**

8) ☐ **Nucleotide and/or Amino Acid Sequence Submission** (if applicable, all are
necessary)

a) ☐ Computer Readable Form (CRF)

b) ☐ Specification Sequence Listing on:

i) ☐ CD-ROM or CD-R (2 copies); or

ii) ☐ Paper

c) ☐ Statements verifying identity of above copies

B) ACCOMPANYING APPLICATION PARTS:

9) ☒ **Assignment Papers** (cover sheet & document(s))

10) ☐ **37 C.F.R. § 3.73(b) Statement** (when there is an assignee)

11) ☒ **Power of Attorney**

12) ☐ **English Translation Document** (if applicable)

13) ☐ **Information Disclosure Statement (IDS)/PTO-1449**

14) ☐ **Copies of IDS Citations**

Express Mail No.: **EK896968206US**

Attorney Docket: **2001841-0011**

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15) ☒ **Preliminary Amendment**

16) ☒ **Return Receipt Postcard (MPEP 503)** (specifically itemized)

17) ☐ **Certified Copy of Priority Document(s)** (if foreign priority is claimed)

18) ☐ **Nonpublication Request under 35 U.S.C. § 122(b)(2)(B)(i)**

19) ☐ **OTHER:** (if applicable, specified below)

C) FOR CONTINUING APPLICATIONS: (the appropriate box is checked, and certain information is provided below and in a preliminary amendment)

☐ continuation

☒ divisional

☐ continuation-in-part (CIP)

of prior application no.: 09/264,113

filed: March 9, 1999

examiner: J. Johnson

group/art unit: 1725

for continuation or divisional applications only: The entire disclosure of the prior application, from which an oath or declaration is supplied as detailed above, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference.

D.) PRIORITY CLAIM(S):

This application claims the benefit under 35 U.S.C. § 120 of any United States application(s) or PCT international application(s) designating the United States of America listed below:

<u>Application Serial No.</u>	<u>Filing date</u>	<u>Status (patented, pending, abandoned)</u>
09/264,113	March 9, 1999	pending

This application claims the benefit under 35 U.S.C. § 119(e) of any United States provisional application(s) listed below:

<u>Application Serial No.</u>	<u>Filing date</u>	<u>Status (pending, expired, abandoned)</u>
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E) METHOD OF PAYMENT OF FILING FEES FOR THIS APPLICATION:

- ☒ Applicant claims small entity status 37 C.F.R. § 1.27.
- ☒ A check is enclosed to cover the filing fees.
- ☒ The commissioner is hereby authorized to charge filing fees or to credit any overpayment to deposit account number 03-1721.

Basic Filing Fee (Small Entity)	\$370.00
Additional Fees:	
Total Number of Claims in excess of 20: (10- 20) x \$9	\$0.00
Number of Independent Claims in excess of 3:(- 3) x \$42	\$0.00
Multiple Dependent Claims \$140:	<u>\$0.00</u>
Total Filing Fee:	\$370.00

F) CORRESPONDENCE ADDRESS:



24280

PATENT TRADEMARK OFFICE

- ☒ Customer Bar Code Label:

- ☒ Correspondence Address:

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Respectfully Submitted,

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U.S. DEPARTMENT OF COMMERCE
PATENT AND TRADEMARK OFFICE
FEE RECORD SHEET

*D.G.
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01/15/2002 CCETIN 00000043 10041919

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